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MPL Forwarder Select (MPLFS)  
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Abstract

This document describes a Forwarder Selection (MPLFS) protocol for the Multicast Protocol for Low-Power and lossy Networks (MPL) to reduce the density of forwarders such that the number of forwarded messages is reduced. The protocol uses Trickle to distribute link-local information about the identity of the neighbours of the nodes which are enabled for MPL. In the end-state all nodes are connected to a minimum number, N\_DUPLICATE, of forwarders, where N\_DUPLICATE is application dependent.

Note

Discussion and suggestions for improvement are requested, and should be sent to roll@ietf.org.

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## 1. Introduction

The Multicast Protocol for Low-Power and Lossy Networks (MPL) [RFC7731] is designed for small devices interconnected by a lossy wireless network such as IEEE 802.15.4. A seed sends a multicast message with a realm-local scope, admin-local scope or higher [RFC4291].

Forwarders forward these messages with an increasing interval size. When the density of forwarders is high, the message may be forwarded for a possibly unacceptable number of times. With extreme forwarder densities and small Trickle intervals, just sending one multicast message may lead to an overload of the communication medium.

The number of forwarded messages can be reduced by selecting a minimal set of forwarders. However, for large networks, manually selecting the forwarders is much work, and changing network conditions and configurations make the manual selection an unwanted burden to the network management.

This document specifies a protocol that selects the forwarders such that each MPL-enabled device is connected to  $N\_DUPLICATE$  forwarders, where  $N\_DUPLICATE > 0$  can be set. The parameter  $N\_DUPLICATE$  determines how much path redundancy there is for each MPL message. The value of  $N\_DUPLICATE$  should be at least 1, because a value of 0 has as result that no forwarder exists in the network during the protocol execution. Moreover, the approach is distributed and dynamic in nature to meet ever changing topology as well as rationally minimizing the selected forwarding nodes.

The protocol is inspired by the work described for Neighbourhood Discovery (NHDP) [RFC6130] and Simple Multicast Forwarding (SMF) [RFC6621]. In contrast to the "HELLO" messages described in [RFC6130], this protocol uses the Trickle protocol [RFC6206] to multicast link-local messages, containing a CBOR payload [RFC7049].

## 1.1. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in [RFC2119].

Readers of this specification should be familiar with all the terms and concepts discussed in [RFC7731]. The following terms are defined in this document:

TODO new terms used in this document

The following list contains the abbreviations used in this document.

XXXX: TODO, and others to follow.

## 2. Protocol overview

Nodes participating in MPLFS exchange messages with a format that is described in Section 6. A participating node communicates to all its neighbours with link-local multicast messages as described in Section 4.

Failing links provide a lot of instability. Only messages sent over stable links are accepted. Section 4 describes a mechanism to refuse messages from unstable links.

Each node maintains a set of 1-hop neighbours and a set of 2-hop neighbours. On the basis of the contents of the set, the node can decide to become a Forwarder or not, as explained in Section 5.

The protocol never ends, with a minimum frequency of exchanging maintenance messages specified by an interval size of `I_MAX_SELECT`. When the set of links is stable, the protocol stabilizes such that every MPL-enabled node is connected to at least `N_DUPLICATE` MPL forwarders (when existing), where `N_DUPLICATE > 0`. `N_DUPLICATE` can be set dependent on the application requirements. With `N_DUPLICATE = 2`, it is expected that a message does not arrive at an intended recipient with very low probability.

Nodes have a state that determines whether they are forwarder or not. The state of a node can only be changed by the node itself. To avoid race conditions, (e.g. two nodes simultaneously decide to be no forwarder, while only one is intended) the node with the highest address of all 2-hop neighbours is the only one allowed to change state. Unlike [RFC5614], that considers 3-tuple (Router Priority, MDR Level and Router ID) to allow self state change, this approach only takes into account the node address. Consequently, only k-hop neighbours, with  $k > 2$ , can change state simultaneously, and the 1-hop and 2-hop neighbours of a given node can change state one by one.

### 3. Data sets

Each node, `n_0`, maintains a state with three values: Possible Forwarder (PF), Fixed Forwarder (FF) and No Forwarder (NF). Each node also maintains a set, `S1_0`, containing information about `n_0`'s 1-hop neighbours and a set `S2_0` containing information about `n_0`'s 2-hop neighbours. Each entry, `n_i`, in `S1_0` or `S2_0` has the following attributes:

`address of n_i`: the address can be the 64 bit IPv6 address or the short 16 bit address.

`average-rssi-in`: the average rssi of the messages received by `n_0` from `n_i`.

`average-rssi-out`: the average rssi of the messages received by `n_i` from `n_0`.

`nr_FF`: the number of `n_i` in `S1_0` with state = FF.

`nr_under`: the number of neighbours of `n_i` in `S1_0` with `nr_FF < N_DUPLICATE`.

`size`: the size of `S1_i`, the set of 1-hop neighbours of `n_i`.

`state`: the state of `n_i`.

During the protocol execution the state of the nodes change. Although the protocol never ends due to changes in configuration and link state, in a steady state, no node has the state PF.

#### 4. Neighbor distribution

A participating node multicasts link-local so-called "neighbour messages" with the Trickle protocol. It uses the multicast address LINK\_LOCAL\_ALL\_NODES as destination. The message sent by  $n_0$  contains the contents of  $S1_0$ . The contents of a "neighbour message" from  $n_i$  received by  $n_0$  is called  $M_i$ . The rssi value associated with the reception of the "neighbour message" is called  $new\_rssi$ . The message  $M_i$  describes  $n_i$  followed by the neighbours of  $n_i$  with the following attributes:

- o address, is address of  $n_i$
- o average-rssi-in
- o nr\_FF
- o nr\_Under
- o size
- o state

On reception of  $M_i$  from  $n_i$  for the first time, the receiving node adds  $n_i$  to  $S1_0$ , and sets average-rssi-in to  $new\_rssi$ . For all following messages from  $n_i$ , the average-rssi-in for  $n_i$  is calculated in the following way:  $average-rssi-in := (average-rssi-in * WEIGHT\_AVERAGE + new\_rssi) / (WEIGHT\_AVERAGE + 1)$ .

The entries of  $M_i$  are called  $n_{ij}$ . For the entry  $n_{ij}$  with an address that is equal to the address of  $n_0$ : the value of average-rssi-out of  $n_i$  is set equal to the value of average-rssi-in of  $n_{ij}$ .

When the average-rssi-in and average-rssi-out values have been averaged over more than WEIGHT\_AVERAGE messages, and the averaged RSSI values are smaller than MAXIMUM\_RSSI,  $n_0$  updates the contents of  $S1_0$  and  $S2_0$  with the contents of  $M_i$ .

- o Add  $n_i$  to  $S1_0$ , or refresh values of  $n_i$ .
- o For every entry  $n_{ij}$  in  $M_i$  that is not present in  $S1_0$  add  $n_{ij}$  to  $S2_0$ .
- o Set size of  $n_i$  equal to the number of entries in  $M_i$ .

Set `nr_FF` equal to the number of `n_i` in `S1_0` with state is equal to `FF`. Set `nr_Under` equal to the the number of `n_i` with `nr_FF < N_DUPLICATE`.

## 5. Selection Algorithm

The protocol allocates forwarders in the densest part of the network. A dense network is characterized by a high number of neighbours. Therefore, the protocol attempts to assign status `FF` to the nodes with the highest number of neighbours that have less than `N_DUPLICATE` neighbours with state = `FF`.

At the start of the selection protocol every node sets its state to Possible Forwarder (`PF`). It sets the Trickle timer to its minimum interval, `I_MIN_SELECT`, and starts multicasting `M_0` to its neighbours. Every time entries are added to, or removed from, `S1_0` or `S2_0`, the Trickle interval timer is set to `I_MIN_SELECT`.

The executing node, `n_0`, calculates for all entries of `S1_0` and `S2_0` with state `PF`:

- o `max-under` is the maximum of the `nr_Under` attribute.
- o `max_address` is the maximum of the addresses of the entries with `nr_Under = max-under`.

To calculate its new state, `n_0` does the following at the next synchronization moment:

When the state is not equal to `FF` and `nr_Under = max-under` and `address = max-address`: set state to `FF`.

Discussion:

The information about the state and the `nr_under` value of the neighbours comes in asynchronously. A criterion must be defined, called synchronization moment, that these values can be trusted to represent the state of the neighbours at this moment of time.

## 6. CBOR payload

The payload format is `/application/cbor` [RFC7049]. The contents of the message is the `rss_i` value of messages received by the neighbour, followed by a list of lists composed of neighbour address, `rss_i` value, size of `S1_i`, forwarder state, `nr_FF`, and `nr_Under`. Assuming two neighbours, in diagnostic JSON the payload looks like:

```
[  
[address_1, average-rssi-in_1, size_1, state_1, nr_FF_1, nr_Under_1],  
[address_2, average-rssi-in_2, size_2, state_2, nr_FF_2, nr_Under_2]  
]
```

Figure 1: CBOR payload

## 7. Default parameter values

The following text recommends default values for the MPLFS protocols.

I\_MIN\_SELECT = 0,2; minimum Trickle timer interval.

I\_MAX\_SELECT = 10; maximum Trickle timer interval.

WEIGHT\_AVERAGE = 10; number of values to average rssi.

MAXIMUM\_RSSI = 3; maximum acceptable average rssi value.

N\_DUPLICATE = 2; requested number of MPL forwarder neighbours for every MPL enabled node.

## 8. Acknowledgements

We are very grateful to

## 9. Changelog

Changes from version 00 to version 01

- o Not yet relevant

## 10. References

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